

THIS SPACE FOR BXA USE

784708

REPORT OF REQUEST FOR RESTRICTIVE TRADE PRACTICE OR BOYCOTT
MULTIPLE TRANSACTIONS (Sheet No. 1)

(For reporting requests described in 769 of the Export Administration Regulations)

NOTICE OF RIGHT TO PROTECT CERTAIN INFORMATION FROM DISCLOSURE.

The Export Administration Act permits you to protect from public disclosure information regarding the quantity, description, and value of the commodities or technical data supplied in Item 9 of this report and in any accompanying documents. *If you do not claim this protection, all of the information in your report and in accompanying documents will be made available for public inspection and copying.* You can obtain this protection by certifying, in Item 5 of the report, that disclosure of the information regarding the quantity, description and value of the commodities or technical data referred to above would place a United States company or individual involved in the report at a competitive disadvantage. If you make such a certification in Item 5, you may remove information regarding the quantity, description, and value of the commodities or technical data supplied by you from Item 9 of the public inspection copy of the report form and from the public inspection copies of the accompanying documents. The withholding of this information will be honored by the Department unless the Secretary determines that disclosure of the information would not place a United States company or individual at a competitive disadvantage or that it would be contrary to the national interest to withhold the information.

A 1
BATCH 2 37 WW 5
MONTH/YEAR 06 98 6 9

This report is required by law (50 U.S.C. App. §2403-1a(b); P.L. 95-52; E.O. 12002; 15 CFR Part 769). Failure to report can result both in criminal penalties, including fines or imprisonment, and administrative sanctions.

INSTRUCTIONS: 1. This form may not include a transaction report that is filed late, nor indicate a decision on request other than those coded in Item 4 below. 2. This form may be used to report on behalf of another United States person if all transactions apply to the person identified in Item 2, but may not be considered as a dual report on behalf of both persons identified in Item 1a and Item 2. 3. Limit each report to 75 transactions or less. 4. Attach as many continuation sheets as needed. Enter sheet number and name of reporting firm on each continuation sheet (starting with Sheet No 2). 5. List each transaction across the continuation sheet, completing all items that apply. Use as many lines as necessary but separate transactions with a blank space or line. 6. Assemble original report form and accompanying documents as a unit, and submit intact and unaltered. 7. Assemble and submit the duplicate copy of report form (marked Duplicate (Public Inspection Copy)) and additional copies of accompanying documents (marked with the legend "Public Inspection Copy.") 4. If you certify, in Item 5, that the disclosure of the information specified there would cause competitive disadvantage, edit the "Public Inspection Copy" of the documents submitted to exclude the specified information and remove the right hand portion of the Duplicate (Public Inspection Copy) of the continuation sheet(s) relating to Column 9. **MULTIPLE TRANSACTIONS:** Public reporting for this collection of information is estimated to average one hour per reported request, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Office of Security and Management Support, Bureau of Export Administration, U.S. Department of Commerce, Washington, D.C. 20230; and to the Office of Management and Budget, Paperwork Reduction Project (0694-0012), Washington, D.C. 20503.

1a. Identify firm submitting this report:

Name: Caterpillar Inc.
Address: 100 N.E. Adams St.
City, State and ZIP: Peoria, IL 61629-3470
Country (if other than USA):
Telephone: (309) 675-4849
Firm Identification No. (if known)

Specify firm type:

- ☒ Exporter
☐ Bank
☐ Forwarder
☐ Carrier
☐ Insurer
☐ Other

1b. Check any applicable box:

- ☐ Revision of a previous report (attach two copies of the previously submitted report)
☐ Resubmission of a deficient report returned by BTR (attach form letter that was returned with deficient report)
☒ Report on behalf of the person identified in item 2

2. If you are authorized to report and are reporting on behalf of another U.S. person, identify that person (e.g., domestic subsidiary, controlled foreign subsidiary, exporter, beneficiary):

Name: Solar Turbines Inc.
Address: P.O. Box 85376
City, State and ZIP: San Diego, CA 92138-5376

Country (if other than USA):
Type of firm: (see list in item 1a) Domestic Subsidiary

3. REQUESTING DOCUMENT CODES (use to code Column 6 of continuation sheet)

- C Request to carrier for blacklist certificate (submit two copies of blacklist certificate or transcript of request)
U Unwritten, not otherwise provided for (make transcript of request and submit two copies)
L Letter of credit
R Request/purchase order/accepted contract/ shipping instruction
B Bid invitation/tender/proposal/trade opportunity
Q Questionnaire (not related to a particular dollar value transaction)
9 Other written

Submit two copies of each document or relevant page in which the request appears.

4. DECISION ON REQUEST CODES (use to code Column 7 of continuation sheet)

- R Have not taken and will not take the action requested
T Have taken or will take the action requested

5. Protection of Certain Information from Disclosure: (Check appropriate boxes and sign Below)

- ☒ I (we) certify that disclosure to the public of the information regarding quantity, description, and value of the commodities or technical data contained in:
☐ Column 9 of the attached continuation sheets (If you check this box, be sure to remove column 9 from the Duplicate (Public Inspection Copy)) of the continuation sheets.
☐ Attached documents (If you check this box, be sure to edit the "Public Inspection Copy" of the documents submitted to exclude the specified information.) would place a United States person involved at a competitive disadvantage, and I (we) request that it be kept confidential.
☐ I (we) authorize public release of all information contained in the report and in any attached documents.
I (we) certify that all statements and information contained in this report are true and correct to the best of my (our) knowledge and belief.

Sign here in ink T. R. Newby Type or print T. R. Newby, Attorney-in-FactDate 5/26/98

DUPLICATE (PUBLIC INSPECTION COPY) - Submit to Office of Antiboycott Compliance, Room 3893, U.S. Department of Commerce, Washington, D.C. 20230

USCOMM-DC 90-24001

Column (2) Also enter firm identification number assigned to exporting firm, if known.
(6) Use codes found on Sheet No. 1 to specify type(s) of document conveying the request.
(7) Use codes found on Sheet No. 1 to indicate whether action taken or not taken.
(8) Enter reporting firm's reference number (e.g., letter of credit, customer order, invoice). This number must appear on corresponding copy of document or relevant page. Attach copies in same order as listed on continuation sheet(s).

SHEET NO.
2 of 2

REPORTING FIRM (Name)

Caterpillar Inc.

FORM ITA-6051P-a
(REV. 5-83)

REPORT OF REQUEST FOR RESTRICTIVE
MULTIPLE TRANSACTION

RSN SUBSET RTP/CLASS OTHER PARTY FIN (1)	NAME AND ADDRESS OF EXPORTING FIRM INVOLVED (unless same as Item 1a or Item 2 on Sheet No. 1) (2)	BOYCOTTING COUNTRY (3)	BOYCOTTED COUNTRY OR COUNTRIES (4)	DATE REQUEST RECEIVED BY FIRM (month/day/year) (5)	REQUEST- ING DOCUMENT CODE (6)	DECISION ON REQUEST CODE (7)	YOUR REFERENCE NUMBER (8)
THIS SPACE FOR BTR USE		Pakistan	Israel/ Taiwan	1-20-98	R	R	SNC 904/97
		Pakistan	Israel/ Taiwan	1-20-98	R	R	SNC 922/98
		Pakistan	Israel/ Taiwan	3-9-98	R	R	SNC 926/98

(Remove stub from public inspection copy at perforation if confidentiality is requested in Sheet No. 1)

DUPLICATE (PUBLIC INSPECTION COPY) - Attach to and submit with the duplicate (Public Inspection Copy) of Sheet No. 1 (ITA-6051P)

REPORT OF BOYCOTT REQUEST

PROPRIETARY INFORMATION DELETED

Name/Location of Solar Company Reporting: Solar Inubumi Int'l Co.

P/O or L/C Number: RFQ # SNC-904/97

Name of Country Requesting Statements: Pakistan

Name of Country Against Which Request is Directed: see attached

Date Request was Received: 1/20/98

Commodity: [REDACTED]

Quantity: [REDACTED] Total Value of Transaction: [REDACTED]

Solar Response to Date:

- | | |
|--|--|
| <input checked="" type="checkbox"/> Have not and will not comply with this request | <input type="checkbox"/> Have not decided whether we will comply with or process documents containing this request |
| <input type="checkbox"/> Have complied with or will comply with this request | <input type="checkbox"/> Need to discuss with Solar Legal |
| <input type="checkbox"/> Other: _____ | |

Final Disposition of Request:

- | | |
|--|---|
| <input type="checkbox"/> Accepted without modification | <input type="checkbox"/> Accepted with modification |
| <input type="checkbox"/> Rejected transaction | <input type="checkbox"/> Solar's bid not accepted |
| <input type="checkbox"/> Other: _____ | |
| <input type="checkbox"/> Comments: <u>Ry: QC39902</u> | |

Date of Report: 2/16/98

Report Prepared By: Ane Layton

Telephone Number: X 8026

Sui Northern Gas Pipelines Ltd.
Tender Enquiry SNC-904/97

Page 2
Solar QC39902

PROPRIETARY INFORMATION DELETED

Please delete the following from your Purchase Order:

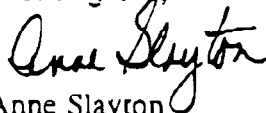
- Item 24: "INSPECTION - 24.1, Mill Test Certificate"
- Item 25: "SHIPPING DOCUMENTS - section iv, Freight Memo and section v, Inspection Certificate"
- Item 37: "QUALITY ASSURANCE MANUALS - Technical literature is not applicable to service parts."
- Item 43: "Part quantities against an item will not be accepted"

Acceptance of reference to boycott language is contrary to U.S. law. Should you order, request any such language be deleted before issuance of Purchase Order and Letter of Credit.

Solar Turbines International Co. certifies that the prices quoted against Tender Enquiry SNC-904/97 are not more than the prices charged to any other purchase organization in the country, and in case of discrepancy the tenderer hereby undertakes to refund the amount charged in excess, notwithstanding any variations that may reflect different delivery conditions such as FOB, EXWORKS, CIF, etc.

Thank you for the opportunity to participate in your Bid Tender Enquiry. Should you order, please refer to QC39902.

Best Regards,



Anne Slayton
Sr. Service Parts Administrator
Customer Services
TEL: 619/694-8026
FAX: 619/694-6903

PROPRIETARY INFORMATION DELETED

SNC 904/97

11. In case the bidder is not able to fully comply with the technical specifications of Tender enquiry, he must indicate his reservation in the offer and in that event must submit complete specifications / literature of the items, he is offering. Bids will be disqualified if relevant Technical literature / specifications are not attached to the offer preferably original technical literature or their photocopies will also be acceptable if those are certified by the foreign principals / manufacturers on the face of each page that those are true copies of the originals.

12. In case the bidder is not able to fully comply with terms and conditions of the Tender Enquiry, he must in that case indicate his reservations in his offer clearly indicating the terms and conditions which he is unable to comply with, and reasons (if possible).

13. In case the bidder meets the tender specifications and complies with all the terms and conditions of enquiry, it must be indicated in the bid that the bid fully conforms to the technical specifications and to the terms and conditions of the Tender Enquiry. In this case also the bidder must enclose relevant Catalogue / Technical Literature alongwith his quotation in support of quoted specifications, and for the purpose of facilitating technical evaluation of each item offered.

14. We reserve the right either to cancel the tender altogether, or to increase / decrease the quantities shown in Appendix ' B ' upto 20% without assigning any reason whatsoever.

15. The bidder shall bear all costs associated with the preparation and submission of its bid and the purchaser will in no case be responsible or liable for those costs regardless of the conduct of outcome of the bidding process, and / or in case of cancellation of the tender altogether.

16. No import shall be permissible from Israel and Taiwan or of goods originating from any of these countries and all other countries who are not members of the World Bank except Switzerland.

16.1 This clause will be included in our order and in the terms and conditions of the Letters of Credit. If any bidder has any objection to this clause, he should specify his objection clearly in his bid. Objections received subsequently after receipt of firm order by the bidder from us will tantamount to dishonouring his bid and will not be acceptable. If a bidder so requests, the working of above clause can be appropriately varied without varying its essence.

17. In case of shipments to be arranged through PNSC vessels, transshipment will be allowed with the consent of the suppliers and only if the cargo is containerized. Transshipment will not be allowed in other cases.

18. In our purchase orders / contracts the names and addresses of PNSC agents at the port of shipment will be incorporated to help the suppliers in contacting PNSC agents and vice versa for making shipping arrangements and booking of space in the ship available at the time of completion of order.

Cont. Page 4

REPORT OF BOYCOTT REQUEST

PROPRIETARY INFORMATION DELETED

Name/Location of
Solar Company Reporting:

STIC

P/O or L/C Number:

RFDH SNC-922/98

Name of Country Requesting Statements:

Pakistan

Name of Country Against Which
Request is Directed:

See attached

Date Request was Received:

1/20/98

Commodity:

Quantity:

Total Value of Transactions:

Solar Response to Date:

☒ Have not and will not comply
with this request

☐ Have not decided whether
we will comply with or
process documents containing
this request

☐ Have complied with or
will comply with this
request

☐ Need to discuss with Solar
Legal

☐ Other:

Final Disposition of Request:

☐ Accepted without modification

☐ Accepted with modification

☐ Rejected transaction

☐ Solar's bid not accepted

☐ Other:

☐ Comments:

Ref: QC39904

Date of Report:

2/17/98

Report Prepared By:

Rene Heyton

Telephone Number:

X8026

7974s/3

RECEIVED
LEGAL SERVICES

FEB 27 1998

Sui Northern Gas Pipelines Ltd.
Tender Enquiry SNC-922/98

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Solar QC39904

PROPRIETARY INFORMATION DELETED

Please delete the following from your Purchase Order:

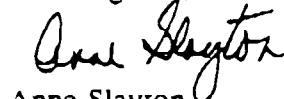
- Item 24: "INSPECTION - 24.1, Mill Test Certificate"
- Item 25: "SHIPPING DOCUMENTS - section iv, Freight Memo and section v, Inspection Certificate"
- Item 37: "QUALITY ASSURANCE MANUALS - Technical literature is not applicable to service parts."
- Item 43: "Part quantities against an item will not be accepted"

Acceptance of reference to boycott language is contrary to U.S. law. Should you order, request any such language be deleted before issuance of Purchase Order and Letter of Credit.

Solar Turbines International Co. certifies that the prices quoted against Tender Enquiry SNC-922/98 are not more than the prices charged to any other purchase organization in the country, and in case of discrepancy the tenderer hereby undertakes to refund the amount charged in excess, notwithstanding any variations that may reflect different delivery conditions such as FOB, EXWORKS, CIF, etc.

Thank you for the opportunity to participate in your Bid Tender Enquiry. Should you order, please refer to QC39904.

Best Regards,



Anne Slayton
Sr. Service Parts Administrator
Customer Services
TEL: 619/694-8026
FAX: 619/694-6903

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SNC 922/98

PROPRIETARY INFORMATION DELETED

11. In case the bidder is not able to fully comply with the technical specifications of Tender enquiry, he must indicate his reservation in the offer and in that event must submit complete specifications / literature of the items, he is offering. Bids will be disqualified if relevant Technical literature / specifications are not attached to the offer preferably original technical literature or their photocopies will also be acceptable if those are certified by the foreign principals / manufacturers on the face of each page that those are true copies of the originals.
12. In case the bidder is not able to fully comply with terms and conditions of the Tender Enquiry, he must in that case indicate his reservations in his offer clearly indicating the terms and conditions which he is unable to comply with, and reasons (if possible).
13. In case the bidder meets the tender specifications and complies with all the terms and conditions of enquiry, it must be indicated in the bid that the bid fully conforms to the technical specifications and to the terms and conditions of the Tender Enquiry. In this case also the bidder must enclose relevant Catalogue / Technical Literature alongwith his quotation in support of quoted specifications, and for the purpose of facilitating technical evaluation of each item offered.
14. We reserve the right either to cancel the tender altogether, or to increase / decrease the quantities shown in Appendix 'B' upto 20% without assigning any reason whatsoever.
15. The bidder shall bear all costs associated with the preparation and submission of its bid and the purchaser will in no case be responsible or liable for those costs regardless of the conduct of outcome of the bidding process, and / or in case of cancellation of the tender altogether.
16. No import shall be permissible from Israel and Taiwan or of goods originating from any of these countries and all other countries who are not members of the World Bank except Switzerland.
- 16.1 This clause will be included in our order and in the terms and conditions of the Letters of Credit. If any bidder has any objection to this clause, he should specify his objection clearly in his bid. Objections received subsequently after receipt of firm order by the bidder from us will tantamount to dishonouring his bid and will not be acceptable. If a bidder so requests, the working of above clause can be appropriately varied without varying its essence.
17. In case of shipments to be arranged through PNSC vessels, transshipment will be allowed with the consent of the suppliers and only if the cargo is containerized. Transshipment will not be allowed in other cases.
18. In our purchase orders / contracts the names and addresses of PNSC agents at the port of shipment will be incorporated to help the suppliers in contacting PNSC agents and vice versa for making shipping arrangements and booking of space in the ship available at the time of completion of order.

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REPORT OF BOYCOTT REQUEST

PROPRIETARY INFORMATION DELETED

Name/Location of
Solar Company Reporting: SOLAR TURBINES INT'L CO.

P/O or L/C Number: RFO # SNC-926/98

Name of Country Requesting Statements: PAKISTAN

Name of Country Against Which
Request is Directed: See Attached

Date Request was Received: 3/9/98

Commodity: [REDACTED]

Quantity: [REDACTED] Total Value of Transaction: [REDACTED]

Solar Response to Date:

☒ Have not and will not comply
with this request

☐ Have complied with or
will comply with this
request

☐ Other: _____

☐ Have not decided whether
we will comply with or
process documents containing
this request

☐ Need to discuss with Solar
Legal

Final Disposition of Request:

☐ Accepted without modification

☐ Rejected transaction

☐ Other: _____

☐ Comments: Ref: QC 40760

Date of Report: 3/10/98

Report Prepared By: Carol Layton

Telephone Number: X 8026

Sui Northern Gas Pipelines Ltd.
Tender Enquiry SNC-926/98

Page 2
Solar QC40760

PROPRIETARY INFORMATION DELETED

Please delete the following from your Purchase Order:

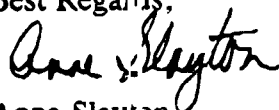
- Item 24: "INSPECTION - 24.1, Mill Test Certificate"
- Item 25: "SHIPPING DOCUMENTS - section iv, Freight Memo and section v, Inspection Certificate"
- Item 27: "QUALITY ASSURANCE MANUALS - Technical literature is not applicable to service parts."
- Item 43: "Part quantities against an item will not be accepted"

Acceptance of reference to boycott language is contrary to U.S. law. Should you order, request any such language be deleted before issuance of Purchase Order and Letter of Credit.

Solar Turbines International Co. certifies that the prices quoted against Tender Enquiry SNC-926/98 are not more than the prices charged to any other purchase organization in the country, and in case of discrepancy the tenderer hereby undertakes to refund the amount charged in excess, notwithstanding any variations that may reflect different delivery conditions such as FOB, EXWORKS, CIF, etc.

Thank you for the opportunity to participate in your Bid Tender Enquiry. Should you order, please refer to: QC40760

Best Regards,



Anne Slayton
Sr. Service Parts Administrator
Customer Services
TEL: 619/694-8026
FAX: 619/594-6903

PROPRIETARY INFORMATION DELETED

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SNC 926/98

11. In case the bidder is not able to fully comply with the technical specifications of Tender enquiry, he must indicate his reservation in the offer and in that event must submit complete specifications / literature of the items, he is offering. Bids will be disqualified if relevant Technical literature / specifications are not attached to the offer preferably original technical literature or their photocopies will also be acceptable if those are certified by the foreign principals / manufacturers on the face of each page that those are true copies of the originals.

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